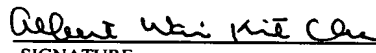


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|---|--|---|--|--|--|
| FORM ITO-1390<br>(REV. 01-2003)   |  | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE |  | ATTORNEY'S DOCKET NUMBER<br>937-PCT-US   |  |
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>         DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>         CONCERNING A FILING UNDER 35 U.S.C. 371</b>   |  |   |  | U.S. APPLICATION NO. (If known, see 37 CFR 1.5<br>Not Yet Known <b>10/502307</b> |  |
| INTERNATIONAL APPLICATION NO.<br>PCT/IT03/00032   |  | INTERNATIONAL FILING DATE<br>January 29, 2003           |  | PRIORITY DATE CLAIMED<br>January 30, 2002  |  |
| TITLE OF INVENTION<br>HUMAN MONOCLONAL ANTIBODY FAB FRAGMENTS DIRECTED AGAINST HCV E2 GLYCOPROTEIN AND ENDOWED WITH IN VITRO NEUTRALIZING ACTIVITY  |  |   |  |  |  |
| APPLICANT(S) FOR DO/EO/US<br>BURIONI, Roberto   |  |   |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |  |   |  |  |  |
| 1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.<br>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.<br>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.<br>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).<br>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))<br>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).<br>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.<br>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).<br>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).<br>a. <input type="checkbox"/> is attached hereto.<br>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).<br>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<br>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).<br>b. <input type="checkbox"/> have been communicated by the International Bureau.<br>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.<br>d. <input checked="" type="checkbox"/> have not been made and will not be made.<br>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).<br>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).<br>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). |  |   |  |  |  |
| <b>Items 11 to 20 below concern document(s) or information included:</b>  |  |   |  |  |  |
| 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.<br>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.<br>13. <input checked="" type="checkbox"/> A preliminary amendment.<br>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.<br>15. <input type="checkbox"/> A substitute specification.<br>16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter.<br>17. <input checked="" type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.<br>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).<br>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).<br>20. <input checked="" type="checkbox"/> Other items or information: PCT Request, PCT International Search Report (ISR),<br>PCT Notification Concerning Submission or Transmittal of Priority Document( Form PCT/IB/304),<br>PCT Notification of Receipt of Papers Purporting to be an International Application (Form PCT/RO/125)   |  |   |  |  |  |

|  |              |              |                  |  |    |   |  |
|--|--------------|--------------|------------------|--|----|---|--|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.77)<br>Not Yet Known <b>10/502307</b>   |              |              |                  | INTERNATIONAL APPLICATION NO.<br><b>PCT/IT03/00032</b>   |    | ATTORNEY'S DOCKET NUMBER<br><b>937-PCT-US</b> |  |
| 21. <input checked="" type="checkbox"/> The following fees are submitted:<br><b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b><br>Neither international preliminary examination fee (37 CFR 1.482)<br>nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO<br>and International Search Report not prepared by the EPO or JPO. .... <b>\$1060.00</b><br><br>International preliminary examination fee (37 CFR 1.482) not paid to<br>USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$900.00</b><br><br>International preliminary examination fee (37 CFR 1.482) not paid to USPTO<br>but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$750.00</b><br><br>International preliminary examination fee (37 CFR 1.482) paid to USPTO<br>but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$720.00</b><br>International preliminary examination fee (37 CFR 1.482) paid to USPTO<br>and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b><br><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> |              |              |                  |  |    | <b>CALCULATIONS PTO USE ONLY</b>              |  |
| Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than 30 months<br>from the earliest claimed priority date (37 CFR 1.492(e)).   |              |              |                  |  |    | \$  |  |
| CLAIMS   | NUMBER FILED | NUMBER EXTRA | RATE             | \$   |    |   |  |
| Total claims   | 21 - 20 =    | 1            | x <b>\$18.00</b> | \$ 18.00   |    |   |  |
| Independent claims   | 2 - 3 =      | 0            | x <b>\$84.00</b> | \$ 0.00  |    |   |  |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)  |              |              |                  | + <b>\$280.00</b>  |    |   |  |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |              |                  | \$ 918.00  |    |   |  |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above<br>are reduced by 1/2.   |              |              |                  | + \$ 459.00  |    |   |  |
| <b>SUBTOTAL =</b>  |              |              |                  | \$ 459.00  |    |   |  |
| Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months<br>from the earliest claimed priority date (37 CFR 1.492(f)).  |              |              |                  | \$   |    |   |  |
| <b>TOTAL NATIONAL FEE =</b>  |              |              |                  | \$   |    |   |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be<br>accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +   |              |              |                  | \$   |    |   |  |
| <b>TOTAL FEES ENCLOSED =</b>   |              |              |                  | \$ 459.00  |    |   |  |
|  |              |              |                  | Amount to be<br>refunded:  | \$ |   |  |
|  |              |              |                  | charged:   | \$ |   |  |
| a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>459.00</u> to cover the above fees is enclosed.<br><br>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.<br>A duplicate copy of this sheet is enclosed.<br><br>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any<br>overpayment to Deposit Account No. <u>50-1891</u> . A duplicate copy of this sheet is enclosed.<br><br>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card<br/>         information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.  |              |              |                  |  |    |   |  |
| <b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a)<br>or (b)) must be filed and granted to restore the application to pending status.  |              |              |                  |  |    |   |  |
| SEND ALL CORRESPONDENCE TO:<br>Law Offices of Albert Wai-Kit Chan, LLC<br>World Plaza, Suite 604, 141-07 20th Avenue<br>Whitestone, New York 11357<br>Tel: (718) 357-8836<br>Fax: (718) 357-8615   |              |              |                  |  |    |   |  |
|  |              |              |                  | <div style="text-align: center;"> <br/>           SIGNATURE<br/> <b>Albert Wai-Kit Chan</b><br/>           NAME<br/> <b>36,479</b><br/>           REGISTRATION NUMBER         </div> |    |   |  |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Roberto BURIONI

U.S. Serial No.: Not Yet Known, corresponding to  
International Application No.  
PCT/IT03/00032, filed January 29, 2003,  
which claims priority of Italian Serial  
No. RM2002A000049, filed January 30, 2002

Filed : Herewith

For : HUMAN MONOCLONAL ANTIBODY FAB FRAGMENTS  
DIRECTED AGAINST HCV E2 GLYCOPROTEIN AND  
ENDOWED WITH IN VITRO NEUTRALIZING  
ACTIVITY

Law Offices of Albert Wai-Kit Chan, LLC  
World Plaza, Suite 604  
141-07 20<sup>th</sup> Avenue  
Whitestone, NY 11357

July 22, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Mail-Stop Patent Application

Sir:

**EXPRESS MAIL CERTIFICATE OF MAILING**  
**IN CONNECTION WITH THE ABOVE-IDENTIFIED APPLICATION**

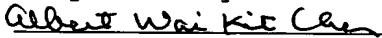
"Express Mail" mailing label number: EV333072895US

Date of Deposit: July 22, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Mail Stop Provisional Application.

  
Printed Name: Elisha Sakur

Respectfully submitted,

  
Albert Wai-Kit Chan  
Registration No. 36,479  
Attorney for Applicants  
Law Offices of  
Albert Wai-Kit Chan, LLC  
World Plaza, Suite 604  
141-07 20<sup>th</sup> Avenue  
Whitestone, New York 11357  
Tel: (718) 357-8836  
Fax: (718) 357-8615